

FREEDOM OF INFORMATION

DISCLOSURE LOG

Audit Scotland receives many information requests on a daily basis and we provide a wide range of information to people who contact us. We also proactively publish the results of our work in the form of reports for the Auditor General and the Accounts Commission and issue press releases on these. Additionally, a wide range of information is available through our publication scheme.

Some requests will be less straightforward to deal with and this log contains information which has been released in response to Freedom of Information (FOI) requests. The number of these requests will not reflect the true volume of requests we receive and the amount of information we routinely provide.

Request Date	Information requested	Information provided	
28/04/2011	Background information to one of our reports exhibits	<u>PARTIAL</u>	Some information withheld under sections 30 (c) and 33 (1b) of FOISA.
25/03/2011	Requested copy of complaint information	<u>PARTIAL</u>	Personal information withheld under section 38 (1a) and (1b) of FOISA.
11/03/2010	Information included in Audit Scotland's draft report on The Gathering	<u>PARTIAL</u>	Some information withheld under section 36 (2) of FOISA.
11/03/2011	Information contained in the draft report by Audit Scotland for the Strathclyde Partnership for Transport	<u>PARTIAL</u>	Some information withheld under section 38 (1b) of FOISA.
11/03/2011	Information included in Audit Scotland's draft report on the Scottish Police Service Authority	<u>PARTIAL</u>	Some information withheld under section 38 (1b) of FOISA.
11/03/2011	Information contained in Audit Scotland's draft report for the progress report on planning for the delivery of the XX Commonwealth Games 2014	<u>PARTIAL</u>	Commercially sensitive information withheld under section 33 (1b) of FOISA.
10/03/2011	Requesting a copy of the successful tender for the research - Modernising the planning system-gathering service users views.	<u>PARTIAL</u>	Commercially sensitive information withheld under section 33 (1b) and personal information under section 38 (1b) of FOISA.
28/09/2010	Request for compromise agreement between SIC and former Chief Executive Mr David Clark	<u>PARTIAL</u>	Some information withheld under sections 36 (2) and 38 (1b) of FOISA.
16/09/2010	Information between Audit Scotland and Gills Harbour Trustees / Pentland Ferries Ltd in relation to our study into the	<u>PARTIAL</u>	Personal information withheld under section 38 (1b) of FOISA.

	Northlink Ferries contract.		
09/06/2010	Information on a successful tender for Performance Audit Improvement – Review of Survey Methods	<u>PARTIAL</u>	Commercially sensitive information withheld under section 33 (1b) of FOISA.
02/04/2010	Information on age, race, religion and nationality of applicants for the past two years.	<u>PARTIAL</u>	Some information withheld under section 25 and 27 of FOISA.
24/02/2010	Information on the selection process for an Audit Scotland job.	<u>PARTIAL</u>	Some information provided within the cost of compliance some refused on section 12 (1) excessive cost of compliance. Personal information was refused under 38 1a of FOISA however the information was provided under Data Protection Act 1998. Applicant also informed under section 17 that we did not hold some of the information requested.
16/02/2010	Copies of all expenses claims and receipts filed by the chief executive and senior directors of your organisation in the last three years (2007, 2008, 2009), including all claims for hospitality, travel, overseas travel, subsistence, and any other expenses.	<u>REFUSED</u>	Information refused under section 12 (1) excessive cost of compliance.
11/02/2010	Prison population statistics	<u>REFUSED</u>	Request was refused under section 25 of FOISA - Information otherwise available
11/01/2010	Detailed breakdown of the salary of each member of staff	<u>REFUSED</u>	Information was withheld under section 38 1(b) of FOISA.
20/10/2009	Information in relation to the audit of Scottish Borders Council.	<u>ALL</u>	Correspondence between the auditor and council.
25/02/2009	Requested internal audit reports from a previously supplied list	<u>ALL</u>	All reports were provided
02/12/2008	Requested information on access rights to Mortarholes	<u>PARTIAL</u>	Most information was provided however a few details were redacted and withheld under section 30
24/10/2008	Requested information on travel allowance and information behind a statutory report	<u>PARTIAL</u>	Most information was provided, however some was withheld under section 36 (1) and 36 (2)
10/09/2008	Five sets of information requested from 2001 relating to: overseas trips audited bodies travel expenses entertainment	<u>PARTIAL</u>	This request would have been refused under section 12.1 excessive cost, however we were able to provide partial information for the areas requested within the cost limit.

	hospitality and gifts		
17/09/2008	Information requested on audit fees and charges	<u>REFUSED</u>	Request was refused under section 25 Information otherwise available
03/06/2008	Requested information on the Cairngorm Funicular Railway	<u>RELEASED</u>	Information requested was provided
27/02/2008	Requesting information we hold on the Aberdeen Western Peripheral Route (AWPR)	<u>REFUSED</u>	Information was withheld under section 40 (b) while the audit was ongoing.
18/02/2008	Requesting a review of our section 17 (1b) decision on not holding the information requested	<u>REFUSED</u>	The review panel confirmed that the original decision was correct in that Audit Scotland did not hold the information requested and that the refusal under section 17 (1b) was properly applied.
04/12/2007	Requesting a review of our decision to withhold information under section 30 (c) regarding Inverclyde Council Pupil Transport Service	<u>PARTIAL</u>	Limited information released. Some information continued to be withheld under sections 38 (1b) and 39 .
29/11/2007	Requesting information gathered by the auditors on North Lanarkshire Council	<u>REFUSED</u>	Information not held by Audit Scotland. Section 17 (1b) applies.
20/11/2007	Requesting the cost of residential care in East Lothian Council's care homes	<u>REFUSED</u>	Information was withheld under section 40 while the audit was ongoing.
13/11/2007	Requesting the information and complaints that we hold on the Scottish Public Services Ombudsman	<u>PARTIAL</u>	Limited information released. Some information withheld under sections 30 and 38 .
12/09/2007	Requesting the report produced by Inverclyde Council about Pupil Transport Services	<u>PARTIAL</u>	Limited information released. Some information withheld under section 30 (c) .
31/08/2007	Requesting information on Audit Scotland's report on Sport in Scotland	<u>PARTIAL</u>	Limited information released. Information was withheld under section 40 (b) due to the ongoing nature of the report, pre-publication
08/05/2007	Requesting a review of our decision to withhold information under section 33 (1b)	<u>REFUSED</u>	No further information was released. The review panel upheld the original panel's decision to redact commercially sensitive information from competitors' tender submission documents under section 33 (1b) .
05/04/2007	Requesting competitors tender details from an Audit Scotland contracting process	<u>PARTIAL</u>	Limited information released. Commercially sensitive information was redacted under section 33 (1b) .
05/04/2007	Requesting competitors tender details from an Audit	<u>PARTIAL</u>	Limited information released. Commercially sensitive information was redacted under section 33 (1b) .

	Scotland contracting process		
27/02/2007	To supply a copy of a complaint that was believed to have been received by Audit Scotland	<u>REFUSED</u>	All the information requested was withheld under section 40 (a&b) .
05/02/2007	To supply the names of individuals and organisations which made representations to Audit Scotland regarding the hearing in Clydebank on West Dunbartonshire Council	<u>PARTIAL</u>	Limited information released. The names of individuals and organisations which were in the public domain were released. The remainder was withheld under section 38 (1b) .
07/12/2006	Information on Inverclyde Schools Reorganisation sensitivity analysis.	<u>REFUSED</u>	All the information requested was withheld under section 33 (1b) .
22/12/2006	A review of our decision to withhold information on two cases of the 29/11/2006 request.	<u>ALL</u>	The information requested for review was released as the commercial interest and the economy aspects had moved on since the initial request.
29/11/2006	The detailed figures and calculations behind a Powerpoint slide used at a conference on PFI bids.	<u>PARTIAL</u>	Limited information released. The remainder relating to ongoing negotiations was withheld under section 33 (1b) .
30/10/2006	All correspondence relating to our investigation of a complaint, submitted by the applicant, into Caledonian MacBrayne Ltd	<u>PARTIAL</u>	Limited information released. Legal correspondence withheld under S36(1) and S40b and some commercial tender information withheld under 33(1b) .
18/10/2006	Information on all our current and future ICT using their, supplied, questionnaire	<u>REFUSED</u>	Fee's notice issued which was not responded to within the required period therefore the request lapsed.
14/09/2006	Information on Audit Scotland's Pension Scheme with the DWP	<u>ALL</u>	Correspondence released.
13/09/2006	Email a copy of AS IT Strategy Document	<u>ALL</u>	Correspondence released.
24/08/2006	To provide information on the consideration by the Accounts Committee on the report concerning Comhairle nan Eilean Sair	<u>PARTIAL</u>	Exemption: 30(b)(ii) and 30(c) . Limited information released.
24/08/2006	Draft Best Value Audit Report of West Dunbartonshire Council	<u>PARTIAL</u>	Exemption: S 40 Audit functions and S 27 (1) a Intended for future publication . Final report sent after publication. Note email address used to send final report message came back undeliverable.

10/05/2006	Budget/spend on ICT equipment over past 3-4 years	<u>ALL</u>	Correspondence released.
03/04/2006	Information on education proposals by Inverclyde Council	<u>ALL</u>	Correspondence released.
14/03/2006	<p>1. Letters passed between the Auditor General's office and the Scottish Parliament since 1 January 2005</p> <p>2. Exchanges on the report on the Holyrood project.</p>	<p><u>ALL</u></p> <p><u>PARTIAL</u></p>	<p>Correspondence released.</p> <p>Exemption: Section 33 Commercial interests and the economy. Single copy of report issued on 24 May with exemption applied.</p>
10/10 2005	Details of quality appraisal report for Scott-Moncrieff an audit firm contracted by Audit Scotland.	<u>PARTIAL</u>	<p>Exemption: Section 30 Prejudice to the effective conduct of public affairs.</p> <p>Audit Scotland disclosed the executive summary of the quality appraisal report. Additional information was not released under Section 30.</p> <p>Our view is that releasing the full appraisal would substantially inhibit (restrain, decrease or suppress) the future free and frank provision of advice and recommendations and/or the exchange of views between Audit Scotland and external auditors and substantially prejudice the effective operation of the process. The public interest in ensuring that the quality appraisal process continues to be effective outweighs the public interest in disclosing the detail of the quality appraisal reports.</p>
23/02 2005	Information about funding of the Beacon Leisure Centre in Burntisland.	<u>PARTIAL</u>	<p>Exemption: Section 36(a) Confidential information.</p> <p>Documentation gathered by Audit Scotland as part of the Audit of Fife Council was provided but additional information was not released under Section 36(a) Confidential information.</p>
02/02 2005	Details of contracts awarded to well known individuals to address or chair our conferences/events since 1999.	<u>ALL</u>	Audit Scotland released the information requested.
24/01 2005 (10 requests received to date)	Gardner & Theobald Report 2000 commissioned by Audit Scotland during the first examination of the Holyrood project.	<u>PARTIAL</u>	<p>Exemption: Section 33 Commercial interests and the economy.</p> <p>Audit Scotland applied exemption Section 33 and released a redacted (edited) version of the Gardner & Theobald Report.</p> <p>In releasing this report we have taken account of Section 67 which provides protection from</p>

			actions of defamation where in response to an FOI request a public sector organisation releases information produced by a third party.
17/01 2005	Performance information on the contract for Prison Escort Services.	<u>ALL</u>	Audit Scotland released information on the target standard and minimum threshold standard figures contained in the Prisoner Escort and Court Custody Service invitation to tender.